



PERSONAL INVESTMENT ADVICE

- Retirement Planning
- Investment Management
- Fiduciary Advisory Accounts
- IRA Strategic Planning
(including Roth and Traditional)
- Insurance Planning
(Long-Term Care, Life and Disability)
- Education Planning
- Income Generation
- Wealth Preservation

As your investment partner, we are committed to helping you work toward your personal financial goals and objectives. After developing a thorough understanding of your specific short-term and long-term goals and risk tolerance, we will work together to create a customized plan. Count on us to help you follow a disciplined process designed with a goal to help you accumulate, preserve and transfer your wealth.

Rick Nelson

Financial Advisor, LPL Financial

425-609-5438 | rick.nelson@becu.org

Experience

Rick has been with BECU Investment Services for over six years and brings more than 30 years of experience as a financial advisor. Over the course of his career, he has developed a deep understanding of retirement income planning and investment management. He is passionate about helping clients work toward a financially secure and comfortable retirement, tailoring strategies to address their unique needs and goals. His approach emphasizes clear communication and building strong, lasting relationships.

Rick grew up in Spokane, Washington, and earned degrees in finance and radio/television from the University of Idaho. A proud Vandal, he brings leadership and dedication to his work with clients. He holds Series 7, 63, and 65 securities registrations through LPL Financial, as well as Washington state Life and Disability insurance licenses.

Outside of work, Rick enjoys a variety of sports, including basketball, golf, ping pong, football, baseball and bowling, and occasionally a good game of blackjack. He also values spending quality time with his wife, Leslie, and his four granddaughters.

Schedule a Complimentary, No-Obligation Portfolio Review.

Visit becu.org/investments or call **206-439-5720**.

Securities and advisory services are offered through LPL Financial (LPL), a registered investment advisor and broker-dealer, (member FINRA/SIPC). Insurance products are offered through LPL or its licensed affiliates. BECU and BECU Investment Services **are not** registered as a broker-dealer or investment advisor. Registered representatives of LPL offer products and services using BECU Investment Services, and may also be employees of BECU. These products and services are being offered through LPL or its affiliates, which are separate entities from, and not affiliates of, BECU or BECU Investment Services. Securities and insurance offered through LPL or its affiliates are:

Not Insured by NCUA or Any Other Government Agency	Not Credit Union Guaranteed	Not Credit Union Deposits or Obligations	May Lose Value
---	------------------------------------	---	-----------------------

Your Credit Union ("Financial Institution") provides referrals to financial professionals of LPL Financial LLC ("LPL") pursuant to an agreement that allows LPL to pay the Financial Institution for these referrals. This creates an incentive for the Financial Institution to make these referrals, resulting in a conflict of interest. The Financial Institution is not a current client of LPL for brokerage or advisory services.

Please visit <https://www.lpl.com/disclosures/is-lpl-relationship-disclosure.html> for more detailed information.

Visit <http://www.lpl.com/CRS> for more information on the LPL Financial LLC relationship summary.

BECU Investment Services Corporate Office is located at BECU, 12770 Gateway Dr., Tukwila, WA 98168.
LPL Tracking# 834063